

# Classification of Echocardiogram Views using Deep Learning Models

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*Abstract*— Cardiovascular disease has always been one of the main causes of death in the world. One of the way to diagnose cardiovascular disease is by using echocardiography. However, this method of diagnosis requires cardiovascular knowledge and sometimes it can be very hard to recognize the views of echocardiogram without expertise in that particular field. The main purpose of this study is to develop and compare deep learning models to classify the views of echocardiogram. VGG16, VGG19, InceptionV3 and MobileNet are used to develop the model to classify the echocardiogram view. After training, the models are evaluated by using classification measures, confusion matrix and confidence test. From the experimental findings, the VGG16 model obtained the best result on both F1 score and accuracy. However, for the confidence score test, MobileNet model achieved better results.

*Index Terms*— Echocardiogram, Deep Learning, Convolutional Neural Network, Digital Imaging and Communication in Medicine (DICOM).

## I. INTRODUCTION

Cardiovascular disease (CVD) is one of the main causes of death globally taking an estimate of 17.9 million lives each year according to the World Health Organization [35]. To combat this issue, various computer-aided diagnostic systems (CAD) are used to assist health personals. Echocardiography is one of the CAD that is a frequently used as a screening modality for asymptomatic patients as well as in order to diagnose and manage patients with complex cardiovascular disease. However, when diagnosing a cardiovascular disease, a cardio expert is always required for the job. With the number of CVD patients arising, it is difficult for hospitals to have the cardio experts available all time. This can slow down the process of treating patients and may cost their lives. With the emerging importance of imaging to cardiovascular care, we believe that an automated pipeline for interpreting cardiovascular imaging can improve perioperative risk stratification, manage the cardiovascular risk of patients with oncologic disease undergoing chemotherapy, and aid in the diagnosis of cardiovascular disease [1].

While other works applying machine learning to medical imaging required the explanations of images by human experts, the clinical workflow for echocardiography is often reported through structured reporting systems. The ability to use previous annotations and interpretations from clinical reports can greatly accelerate adoption of machine learning in medical imaging. Given the availability of previously annotated clinical

reports, the density of information in image and video datasets, and many available machine learning architectures already applied to image datasets, echocardiography is a high impact and highly tractable application of machine learning in medical imaging.

The aim this study is to use image classification technique using Convolutional Neural Network to train the models for recognizing the views of echocardiogram. The VGG16, VGG19, InceptionV3 and MobileNet model will be used in the training. The four models will then be evaluated by using accuracy, validation loss, confusion matrix and confidence test to determine which model has the best performance for the classification task.

The rest of the paper will be structured as follows: Section 2 will discuss the related works. Section 3 presents the classification models. Section 4 discusses the result of the study. Finally Section 5 concludes the work of this study.

## II. RELATED WORKS

In this section, we will discuss the related works based on the neural network architectures used in this study.

### A. Artificial Neural Network and Convolutional Neural Network

Artificial neural network (ANN) is a subset in machine learning. Artificial intelligence techniques are used to enable machine like computer to learn automatically and improve from past experience by observing historical data [4]. As for ANN, they are inspired by human biological brain neuron shown in Fig. 1. This enable computer to replicate the way human learn. ANN generally consist of input layer, hidden layers and output layers. They are good at finding pattern in data which are too numerous for human programmer to extract and program machine to recognize [3]. A single layer neural network is called perceptron and it provides single output [5]. A perceptron generally contains input, bias, activation function and output. An activation function is very important as it enables ANN to learn and understand complicated patterns. The main purpose of activation function is to convert an input signal to an output signal. Deep learning is an ANN that consist multiple processing layers. The processing layer consist of complex structures and non-linear transformations which enable the model to process high level abstraction in data [3].

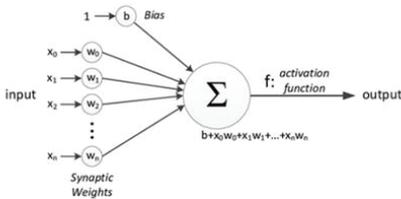


Fig. 1 Diagram of a simple Perceptron in ANN [3]

Convolutional Neural Network (CNN) is a popular deep learning neural network consisting many layers: convolutional layer, non-linearity layer, pooling layer and fully-connected layer [6]. The architecture of a CNN is comparable to the connectivity pattern of the Neurons in the human brain and was inspired by the organization of the Visual Cortex [7]. CNN can successfully capture the Spatial and Temporal dependencies of an image through relevant filters. It perform better in fitting to the image dataset due to the reduction in the number of parameters involved and reusability of weights[7]. The architecture of CNN is shown Fig. 2. In the next subsections, we will describe the four CNN models used in this study.

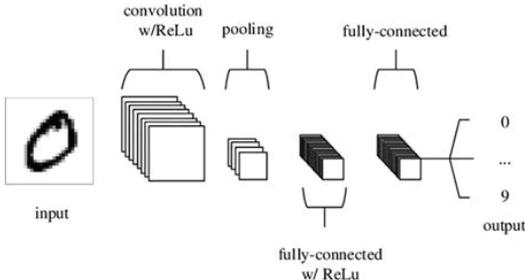


Fig. 2 Simple CNN architecture, comprised of just five layers [7]

**B. VGG16 and VGG19**

VGG-16 is a convolutional neural network with 16 layers which was proposed by K. Simonyan and A. Zisserman [9]. A VGG16 CNN uses very small 3x3 receptive fields throughout the whole net, which are convolved with the input at every pixel instead of large receptive fields [9].

The first and second layer have 64 channels of 3x3 filter size and same padding [9]. Next a max pool layer of stride (2, 2), two layers which have convolution layers of 256 filter size and filter size (3, 3) [9]. This is followed by a max pooling layer of stride (2, 2) which is same as the previous layer. Then there are 2 convolution layers of filter size (3, 3) and 256 filter. After that there are 2 sets of 3 convolution layer and a max pool layer. Each layers have 512 filters of (3, 3) size with same padding. This image is then passed to the stack of two convolution layers. Fig. 3 shows the architecture of VGG16 used by K. Simonyan and A. Zisserman [9]. Same as VGG16, VGG19 is also a model proposed by K. Simonyan and A. Zisserman. The difference between VGG16 and VGG19 is only on their number of weight layers. VGG16 consist of 16 layers while VGG19 consist of 19 layers. Fig. 4 shows the architecture of VGG19.

The VGGs model have been previously used for echocardiogram classification. The study by Ali Madani et. al [31] uses VGG16 followed by two fully connected layers of 1028 and 512 nodes. The model performed well on the

echocardiogram, achieving 91.7% overall accuracy on low resolution echocardiogram.

Another study conducted by See Yee Tan et. al [32] use the VGG16 model followed by 500-500-8 fully connected layers for the echocardiogram classification. The model achieved 93.94% accuracy on the test set.

ConvNet Configuration					
A	A-LRN	B	C	D	E
11 weight layers	11 weight layers	13 weight layers	16 weight layers	16 weight layers	19 weight layers
input (224 x 224 RGB image)					
conv3-64	conv3-64 LRN	conv3-64 conv3-64	conv3-64 conv3-64	conv3-64 conv3-64	conv3-64 conv3-64
maxpool					
conv3-128	conv3-128	conv3-128 conv3-128	conv3-128 conv3-128	conv3-128 conv3-128	conv3-128 conv3-128
maxpool					
conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256 conv3-256
maxpool					
conv3-512 conv3-512	conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 conv1-512	conv3-512 conv3-512 conv3-512	conv3-512 conv3-512 conv3-512
maxpool					
conv3-512 conv3-512	conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 conv3-512 conv3-512	conv3-512 conv3-512 conv3-512	conv3-512 conv3-512 conv3-512 conv3-512
maxpool					
FC-4096					
FC-4096					
FC-1000					
soft-max					

Fig 3 VGG16 Architecture [9]

Layer name	#Filters	#Parameters	#Activations
input			150K
conv1.1	64	1.7K	3.2M
conv1.2	64	36K	3.2M
max pooling			802K
conv2.1	128	73K	1.6M
conv2.2	128	147K	1.6M
max pooling			401K
conv3.1	256	300K	802K
conv3.2	256	600K	802K
conv3.3	256	600K	802K
conv3.4	256	600K	802K
max pooling			200K
conv4.1	512	1.1M	401K
conv4.2	512	2.3M	401K
conv4.3	512	2.3M	401K
conv4.4	512	2.3M	401K
max pooling			100K
conv5.1	512	2.3M	100K
conv5.2	512	2.3M	100K
conv5.3	512	2.3M	100K
conv5.4	512	2.3M	100K
max pooling			25K
fc6		103M	4K
fc7		17M	4K
output		4M	1K

Fig. 4 VGG19 Architecture [9]

**C. Inception**

Inception is a CNN model by Google and got its start as a module of GoogleNet. The model that we use which is InceptionV3, is the 3rd edition of Google's Inception Convolutional Neural Network [26]. Inception is a deep neural network that is computational efficient for various use cases scenarios such as mobile vision and big-data scenarios [26]. InceptionV3 focuses on using less computational power by modifying the previous inception model by factorized convolutions, regularization, dimension reduction, and parallelized computations to loosen the constraint for easier model adaptation [27]. The architecture of InceptionV3 is built step by step progressively by first Factorized Convolution as it can improve the network efficiency. After that, the bigger

convolution of the previous model is replaced by smaller model as it can speed up the training process [26]. Fig. 5 shows the architecture of InceptionV3 model.

In a study conducted by Wang Cheng et. al [34], an InceptionV3 model was used as a feature extractor along with Softmax, Logistic and SVM classifier to classify Pulmonary image. The model achieved 86.40% accuracy in classifying the images.

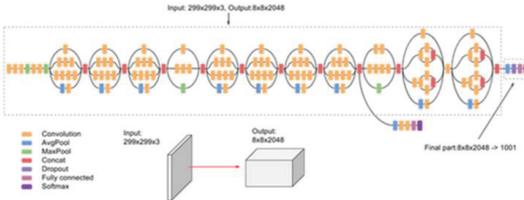


Fig. 5 InceptionV3 Architecture [30]

D. MobileNet

MobileNet is a CNN from google which use a depth-wise separable convolution to build light weight deep neural networks based on streamlined architecture [29]. MobileNet has 2 simple global hyper parameters as stated in [28], which has an efficiency trade-off between latency and accuracy. The 2 global hyper parameters allow to select the right size of the model based in the constraint of the problem [28]. The small size and lightweight model of MobileNet make it suitable for mobile and embedded vision which has limited computational power [29]. Fig. 6 show the overall architecture of MobileNet.

A research conducted by Ioannis et. al [31] uses MobileNet model to detect Covid-19 disease from X-ray images. The research are conducted by using 5 models which are VGG19, MobileNet v2, Inception, Xception and Inception ResNet v2. The MobileNet v2 and VGG19 model turned out to be the best model for this problem achieving 92.85% and 93.48% accuracy respectively.

Table I. MobileNet Body Architecture

Type / Stride	Filter Shape	Input Size
Conv / s2	3 × 3 × 3 × 32	224 × 224 × 3
Conv dw / s1	3 × 3 × 32 dw	112 × 112 × 32
Conv / s1	1 × 1 × 32 × 64	112 × 112 × 32
Conv dw / s2	3 × 3 × 64 dw	112 × 112 × 64
Conv / s1	1 × 1 × 64 × 128	56 × 56 × 64
Conv dw / s1	3 × 3 × 128 dw	56 × 56 × 128
Conv / s1	1 × 1 × 128 × 128	56 × 56 × 128
Conv dw / s2	3 × 3 × 128 dw	56 × 56 × 128
Conv / s1	1 × 1 × 128 × 256	28 × 28 × 128
Conv dw / s1	3 × 3 × 256 dw	28 × 28 × 256
Conv / s1	1 × 1 × 256 × 256	28 × 28 × 256
Conv dw / s2	3 × 3 × 256 dw	28 × 28 × 256
Conv / s1	1 × 1 × 256 × 512	14 × 14 × 256
5 × Conv dw / s1	3 × 3 × 512 dw	14 × 14 × 512
Conv / s1	1 × 1 × 512 × 512	14 × 14 × 512
Conv dw / s2	3 × 3 × 512 dw	14 × 14 × 512
Conv / s1	1 × 1 × 512 × 1024	7 × 7 × 512
Conv dw / s2	3 × 3 × 1024 dw	7 × 7 × 1024
Conv / s1	1 × 1 × 1024 × 1024	7 × 7 × 1024
Avg Pool / s1	Pool 7 × 7	7 × 7 × 1024
FC / s1	1024 × 1000	1 × 1 × 1024
Softmax / s1	Classifier	1 × 1 × 1000

Fig 6 MobileNet Architecture [33]

E. DICOM Images dataset

DICOM stands for Digital Imaging and Communications in Medicine. The DICOM image provides important information that can be used in interface specifications to enable network connectivity among a variety of vendors' products. This allow hospital to format and exchange medical images and associated information, both within the hospital and also outside the hospital [2]. In this study, all the DICOM images are acquired from a private hospital in Melaka, Malaysia and all the data of patients are removed for privacy purpose. There are total 9 classes for the images which are AP2, AP3, AP4, AP5, PLAX, PSAX-AP, PSAX-AV, PSAX-MID and PSAX-MV. Each class represent the different view of echocardiogram. The number of training image are 8997 images and about 900 images for each class. As for the validation dataset we will use 10% of the training dataset and 897 images as test data.

The training dataset will be used to train the CNN model and we will be using Categorical Crossentropy as the loss function. For the optimizer, we will be using the Adam optimizer with learning rate of 0.001 and learning rate decay of 0.0001. The Adam optimizer is chosen for this study as the Adam optimizer is appropriate for problems with very noisy or sparse gradients and it can make use of the average of the second moments of the gradients to adjust the learning rate parameter. The learning rate of 0.001 and learning rate decay of 0.0001 is used as they are the optimal choice for our model as stated by David Mack in [29]. Then we will test the accuracy of classification of each of these models using test datasets while validation datasets will be useful to calculate the loss due to misclassification. After the result is obtained, the determination of the best deep learning model will be scored using their rank in accordance to their performance. Fig. 7 shows the echocardiogram views that were used in this study.



Fig. 7 Echocardiogram Views

III. IMPLEMENTATION

The implementation of this study was done using Python and has two main parts which are data pre-processing and model training. Before the data pre-processing process, all the required Python library will be imported. The overall flow of the study is shown in Fig.8.

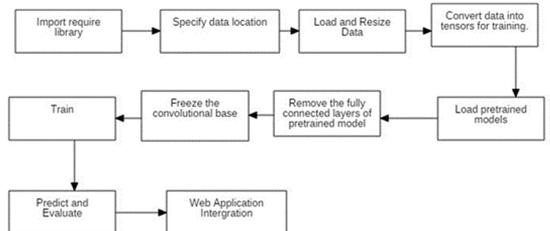


Fig.8 System Flow of Classification Software

A. Data preprocessing

In this stage, the data will be loaded into our system and is resized to 224 x 224. We reduce the size because it can lighten the computational power required to train the data. The data is feed into the neural network in 3 channels which is RGB (Red, Green and Blue). Before feeding the data into the neural network, the data will be convert into tensor. Tensors are multi-dimensional arrays with a uniform type (called a dtype). You can see all supported dtypes at `tf.dtypes.DType`. In this process the data will also be spilted.

B. Model Architecture and Training

After finishing the data pre-processing, the pre trained model will be load into our system. Instead of building a CNN from scratch, we will use pre trained model to train our data. This can speed up the training. The classification layer of the pre-trained model will be removed as we only need the convolutional layer for feature extraction. We will freeze all layer in convolutional

base to prevent the weight from updating. It is important to freeze the convolutional base before you compile and train the model. Layer freezing means layer weights of a trained model are not changed when they are reused in a subsequent downstream task as they remain frozen, as shown in Fig. 10. Essentially when backpropagation is done during training these layers weights are untouched. So in transfer learning, we reuse by freezing or fine tuning the layers of a model. Since we will not be using the classifier from the pre trained model, we will build our own new classifier. Our classifier will consist of four 512 fully connected layers with ReLu activation, batch normalization and dropout followed by output layer with softmax activation. After we build our classifier, the pre trained model will be flatten and stack with our classifier. An early stopping criteria is implemented to stop the training if the validation loss is not improving for 3 epoch. The model is then trained until it achieves its highest accuracy. Fig.9 shows an example of VGG16 model architecture used in the study.

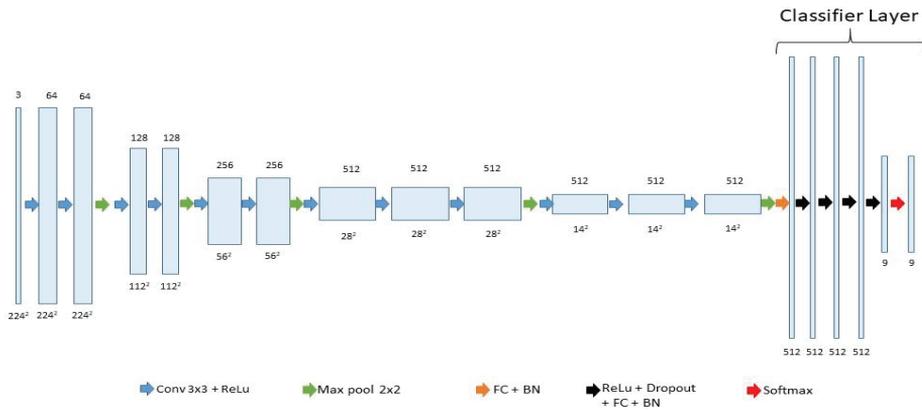


Fig. 9 An example of VGG16 architecture used in this study followed by 512-512-512-512 fully connected layers

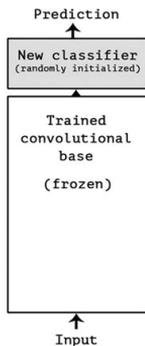


Fig.10 Freezing convolutional base and adding new classifier [11]

IV RESULT AND DISCUSSION

After the training, evaluation was done on each model to evaluate their performance. The performance is evaluated by using F1 Score and confidence of the models on each of the echocardiogram class.

From Fig. 11 and Table 1, it can be observed that VGG16 performed better than VGG19 while InceptionV3 and MobileNet has roughly the same performance. VGG16 model achieved lowest data performance on the PSAX-MV class. It has the lowest Recall and F1-Score which are 0.74 and 0.85 respectively. The VGG19 model has a lower performance compared to VGG16. The VGG19 model performs poorly on the PSAX-AP, PSAX-MID and PSAX-MV. From the accuracy perspective in Table 2, VGG16 has the best performance followed by InceptionV3, MobileNet and VGG19.

TABLE 1  
F1 SCORE FOR EACH CLASS

	VGG16	VGG19	InceptionV3	MobileNet
AP2	0.96	0.95	1.00	1.00
AP3	0.96	1.00	1.00	1.00
AP4	0.92	0.95	0.99	0.92
AP5	0.94	0.97	0.99	0.91
PLAX	1.00	1.00	1.00	1.00
PSAX-AP	0.89	0.57	0.96	0.94
PSAX-AV	1.00	0.10	0.99	0.99
PSAX-MID	0.98	0.34	0.75	0.60
PSAX-MV	0.95	0.61	0.72	0.76

TABLE 2  
ACCURACY FOR EACH MODEL

	VGG16	VGG19	InceptionV3	MobileNet
Accuracy	0.95	0.83	0.93	0.91

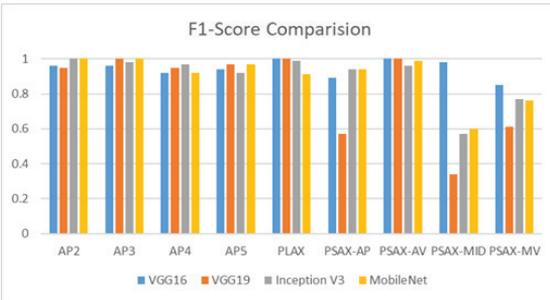


Fig. 11 F1-Score Comparison

In Table 3, VGG16 can classify most of the view well except for PSAX-MV which has the most misclassification, 24 misclassification followed by AP4 and AP3 and PSAX-MID which are 13, 7 and 1. VGG 19 model has a lower performance in classifying. From VGG19 confusion matrix, we can see that the model performs poorly on PSAX-AP and PSAX-MID where PSAX-AP has 60 misclassification and PSAX-MID has 74 misclassification. However, InceptionV3 and MobileNet have the roughly same performance on each class while both model perform poorly on PSAX-MID which InceptionV3 has 51 misclassifications and MobileNet has 50 misclassifications. Similar outcome can also be seen while comparing the F1 Score on Figure 9 which InceptionV3 and MobileNet perform poorly on PSAX-MID while VGG16 perform good across the board.

Confidence test is performed on a PSAX-AV image by using a high resolution image and low resolution image from the PSAX-AV class. From the confidence test in Fig. 12, we can

see that VGG16, InceptionV3 and MobileNet has acceptable confidence on the high resolution PSAX-AV image while VGG19 has a low confidence score. From the test on a low resolution PSAX-AV image on Fig. 13, we can see that the problem on low confidence is more significant as the VGG16 and VGG19 have very low confidence score. However the InceptionV3 model has the same confidence score and MobileNet model have slightly lower confidence score on low resolution image. The poor performance of VGG16 and VGG19 model on low resolution image is because that the VGG model cannot extract very complex feature as it is a simple stack of convolutional and max-pooling layers followed by one another and finally fully connected layers compared to the deeper and complex architecture of InceptionV3 and MobileNet. This may cause VGG16 and VGG19 model unable to perform well on difficult task. Unlike the VGGs model, InceptionV3 consist of 1x1 filters also known as pointwise convolutions followed by convolutional layers with different filter sizes applied simultaneously [26][36]. MobileNet's depthwise separable convolution architecture also have comparable performance to Inception as stated in [30]. This allows Inception and MobileNet model to learn more complex features as it has more hidden layer than VGGs model. Thus making the InceptionV3 and MobileNet model more reliable on classifying echocardiogram.

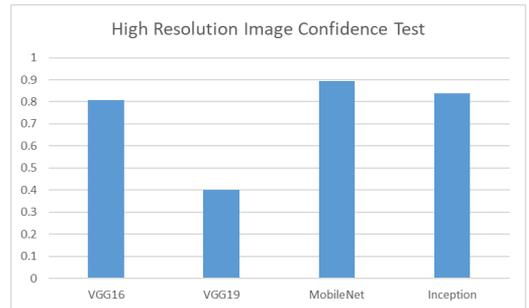


Fig. 7 Confidence Comparison on high resolution PSAX-AV image

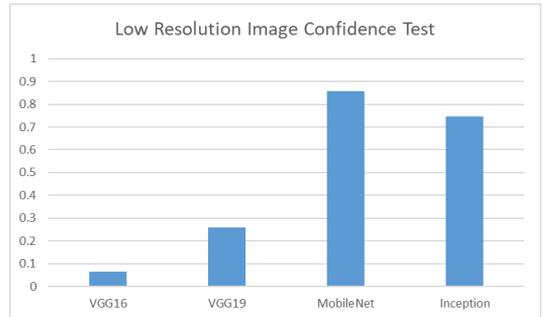


Fig. 8 Confidence Comparison on low resolution PSAX-AV image

TABLE 3  
CONFUSION MATRIX

Model	Confusion Matrix									
	AP2	AP3	AP4	AP5	PLAX	PSAX-AP	PSAX-AV	PSAX-MID	PSAX-MV	
VGG16	AP2	95	0	0	0	0	0	0	0	0
	AP3	7	88	0	0	0	0	0	0	0
	AP4	0	0	81	15	0	0	0	0	0
	AP5	0	0	0	100	0	0	0	0	0
	PLAX	0	0	0	0	100	0	0	0	0
	PSAX-AP	0	0	0	0	0	100	0	0	0
	PSAX-AV	0	0	0	0	0	0	97	0	0
	PSAX-MID	0	0	0	0	0	1	0	92	0
	PSAX-MV	0	0	0	0	0	24	0	3	77
	VGG19	AP2	95	0	0	0	0	0	0	0
AP3		0	95	0	0	0	0	0	0	0
AP4		7	0	89	0	0	0	0	0	0
AP5		1	0	5	94	0	0	0	0	0
PLAX		0	0	0	0	100	0	0	0	0
PSAX-AP		0	0	0	0	0	40	0	0	56
PSAX-AV		0	0	0	0	0	0	97	0	0
PSAX-MID		0	0	0	0	0	1	0	19	74
PSAX-MV		0	0	0	0	0	1	0	3	103
InceptionV3		AP2	95	0	0	0	0	0	0	0
	AP3	0	95	0	0	0	0	0	0	0
	AP4	0	0	96	0	0	0	0	0	0
	AP5	0	0	5	88	0	0	1	0	6
	PLAX	0	0	0	0	98	0	0	0	2
	PSAX-AP	0	0	0	0	0	97	0	3	0
	PSAX-AV	0	0	0	0	0	6	91	0	0
	PSAX-MID	0	0	0	0	0	3	0	39	51
	PSAX-MV	0	0	0	0	0	1	0	1	102
	MobileNet	AP2	95	0	0	0	0	0	0	0
AP3		0	95	0	0	0	0	0	0	0
AP4		0	0	96	0	0	0	0	0	0
AP5		0	0	17	83	0	0	0	0	0
PLAX		0	0	0	0	100	0	0	0	0
PSAX-AP		0	0	0	0	0	100	0	0	0
PSAX-AV		0	0	0	0	0	0	96	0	1
PSAX-MID		0	0	0	0	0	3	0	40	50
PSAX-MV		0	0	0	0	0	0	0	0	104

V. CONCLUSION

This study compares four deep learning models namely VGG16, VGG19, InceptionV3 and MobileNet to identify the views of echocardiogram. The observation from this study shows that VGG16 has the best performance by looking at the classification results. However while testing the confidence, InceptionV3 and MobileNet performs better than VGG16. The model using MobileNet has a high confidence even on a low-resolution image despite the low F1 score on PSAX-MID images. A high confidence score is required because we need the model to be able to classify a medical image correctly and consistently in the medical field. The low computational cost of MobileNet model will also give an edge on running on low computational device such as mobile devices.

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